



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Andrea M. Douglas, et al.

**Examiner:** Unassigned

**Serial No:** 09/819,097

**Art Unit:** 1646

**Filed:** March 5, 2001

**Docket:** 11375Z

**For:** CYTOKINES AND THEIR USE IN  
TREATMENT AND/OR PROPHYLAXIS  
OF BREAST CANCER

**Dated:** November 26, 2001

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Douglas, A.M., et al., "Oncostatin M. induces the differentiation of breast cancer cells", Int J Cancer, Vol. 75(1): 64-73 (1998);
2. Douglas, A.M., et al., "Expression and function of members of the cytokine receptor superfamily on breast cancer cells", Oncogene, Vol. 14(6): 661-9 (1997)

Applicants are submitting copies of the above-cited references.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on November 26, 2001.

Dated: November 26, 2001

*Michelle Mustafa*

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required .

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Peter I. Bernstein", with a long horizontal flourish extending to the right.

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

11375Z

In Re Application Of: **Andrea Margaret Douglas, et al.**COPY OF PAPERS  
ORIGINALLY FILED

Serial No.

09/819,097

Filing Date

March 5, 2001

Examiner

Unassigned

Group Art Unit

1646

Title: **CYTOKINES AND THEIR USE IN TREATMENT AND/OR PROPHYLAXIS OF BREAST CANCER**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11375Z

In Re Application Of: **Andrea Margaret Douglas, et al.**

Serial No.  
09/819,097

Filing Date  
March 5, 2001

Examiner  
Unassigned

Group Art Unit  
1646

Title: **CYTOKINES AND THEIR USE IN TREATMENT AND/OR PROPHYLAXIS OF BREAST CANCER**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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\_\_\_\_\_  
Signature of Person Mailing Correspondence

**Michelle Mustafa**  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: **November 26, 2001**

**Peter I. Bernstein**  
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